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## Biorenewable ROMP-based thermosetting copolymers from functionalized castor oil derivative with various cross-linking agents

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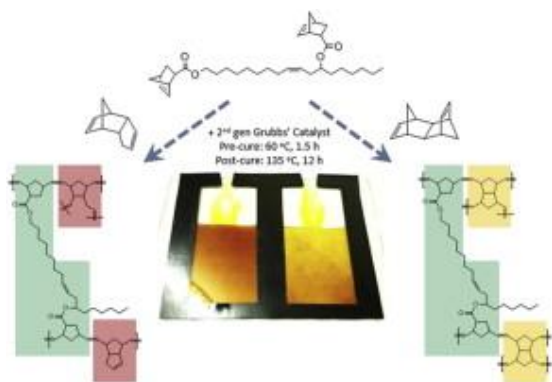
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### Abstract

A new norbornenyl-functionalized castor oil alcohol (NCA) was synthesized and ring-opening metathesis copolymerized separately with two norbornene-based cross-linking agents: dicyclopentadiene (DCPD) and a bifunctional norbornene crosslinker (CL). Isothermal differential scanning calorimetry (DSC) was used to examine the cure behavior of NCA/DCPD and NCA/CL resins, through which a reasonable cure schedule was determined. The glass transition temperature ( $T_g$ ) and storage modulus ( $E'$ ), characterized by dynamic mechanical analysis (DMA), increased significantly in both copolymer systems with the addition of cross-linking agents. Cross-link density of the two systems was evaluated using a modified empirical equation from the kinetic theory of rubber elasticity. Differences in tensile stress-strain behavior and thermal stability between polymerized NCA/DCPD and NCA/CL were correlated to the structural rigidity and cross-linking density resulting from the cross-linking agents.

### Graphical abstract



## Keywords

- Castor oil;
- Norbornenyl functionality;
- Cross-linking

## 1. Introduction

In recent decades, increasing concerns for the environment and sustainability have prompted the exploration of bio-based, renewable plastics and composites for structural applications. Among the candidates for renewable resources, agricultural oils have emerged as a powerful feedstock to develop polymeric materials capable of replacing commercial polymer products currently derived from petrochemicals. The polymerization and processing of agricultural oils into stiff, robust materials in key applications, such as automotive components, civil engineering and construction are on-going topics in both academic and industrial settings [1].

Hardening of drying oils, such as tung oil, readily occurs due to their inherently high extent of unsaturation, but the majority of fatty acid chains of agricultural oils that possess few double bonds that are not readily polymerizable (i.e., non-conjugated). Chemical modification of double bonds and ester groups on triglycerides therefore is a powerful strategy to guide monomer design towards controllable polymerization of agricultural oils. Through conversion of olefins to more reactive functional groups, such as epoxy, hydroxyl or cyclic groups, the triglyceride is susceptible to alternate polymerization paradigms or to subsequent functionalization [2]. A remarkable range of polymers have been prepared from agricultural oils utilizing various mechanisms, including free-radical polymerization, cationic polymerization, olefin metathesis polymerization, and condensation polymerization, have been reviewed [3] and [4].

Many recent efforts have concentrated on optimization of the thermal and mechanical properties of agricultural oil-based polymers. Because of the linear, flexible hydrocarbon chains common in triglycerides, which are associated with high degree of chain rotation and multiple segment movements, the resulting polymers usually exhibit low  $T_g$  and modulus [5]. Two approaches to enhance the thermal and mechanical performance of these polymers exist. First, polymer stiffness can be improved through introduction of “rigid” chemical moieties to the polymer structure, such as aromatic, carbocyclic and heterocyclic groups [6]. Second, material properties can be tuned by varying the level of covalent cross-linking in the polymer network. To achieve this, the number of functional groups on the triglyceride molecules are commonly altered. For soybean oil-based polymers, the hydroxyl functionalities per fatty chain can be manipulated by chemical reactions such as glycerolysis, amidation, methanolysis, and ester reduction, which endow the resulting polymers more cross-linking sites and significantly improved  $T_g$  and modulus [7], [8] and [9]. The addition of bifunctional, cross-linking comonomers from petroleum-derivatives is another suitable approach, although it partly compromises the bio-based content of the materials. Divinylbenzene and norbornene-based cross-linkers have gained increased attention in optimizing thermo-mechanical properties [10], [11], [12] and [13] because they serve to both cross-link the polymer and incorporate rigidity to stiffen the bio-based polymers.

Ring-opening metathesis polymerization (ROMP) has recently proven promising for developing strong and tough thermosets derived from vegetable oils. Due to the unique characteristic of olefin retention during ROMP, the resultant polymers have the potential to serve as reactive sites in the polymer matrix for self-healing composite applications [14]. Commercial Ruthenium-based catalysts are capable of initiating polymerization of modified agricultural oil-derived monomers due to such catalyst's high reactivity, functional group tolerance, and air/moisture insensitivity. Early work in ROMP of oils was explored by copolymerizing Dilulin™ [15], a commercially available norbornenyl-modified linseed oil derivative (Cargill Inc, MN), with various crosslinkers [11] and [16]. However, the low number of norbornenyl groups ( $\leq 1$  per triglyceride) in Dilulin inhibits network formation with its comonomer, resulting in a plasticization effect that decreases mechanical properties. To overcome the disadvantage of incomplete network formation, norbornenyl-modified fatty alcohols derived by reacting 5-norbornene-2,3-dicarboxylate anhydride with Dilulin and castor oil (NMDA and NMCA) were synthesized [7], resulting in an average of 1.3 and 1.9 pendent norbornene groups per fatty chain, thereby improving storage modulus and  $T_g$  without the need for comonomers. Another novel copolymer system was prepared from norbornenyl-functionalized castor oil (NCO) and castor oil alcohol (NCA), which were derived by reacting 5-norbornene-2-carbonyl chloride with castor oil and castor oil alcohol respectively [8]. NCA monomer has approximately 1.8 norbornenyl groups per fatty chain, which led to relatively short gelation time and high cross-link density. The molecular structure of the aforementioned ROMP-reactive bio-monomers based on agricultural oils are listed in Fig. 1(a–e).

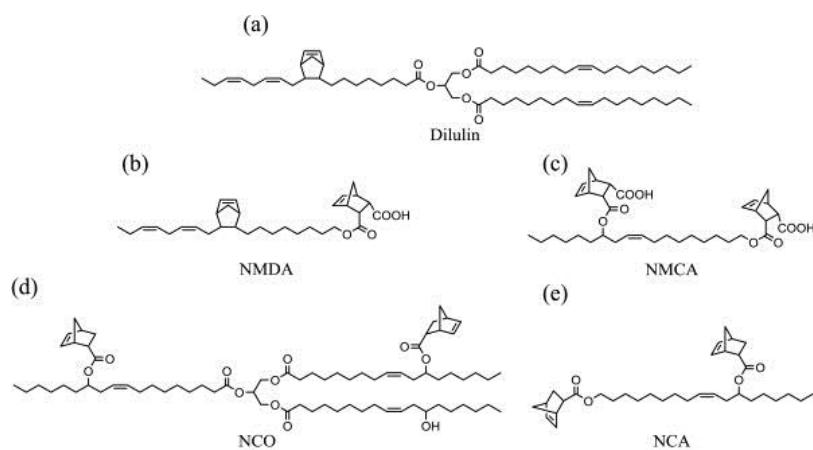


Fig. 1: ROMP-reactive bio-monomers from Dilulin and castor oil; (a) cyclopentadiene-modified linseed oil (Dilulin); (b) norbornenyl-modified Dilulin alcohol (NMDA); (c) norbornenyl-modified castor oil alcohol (NMCA); (d) norbornenyl-functionalized castor oil (NCO); and (e) norbornenyl-functionalized castor oil alcohol (NCA).

In this work, we investigate the effect of cross-linking functionality of ROMP-reactive comonomers on the thermo-mechanical properties and the network structure of the NCA thermosetting system. Two cyclic olefin comonomers, dicyclopentadiene (DCPD) and norbornene crosslinker (CL) were ring-opening metathesis copolymerized with NCA monomer in varied ratios. The cure behavior of NCA-DCPD and NCA-CL is tied to the ROMP reactivity of multifunctional cross-linking agents [11], [16] and [17]. Isothermal conversion and conversion rate of these two systems during cure were monitored using differential scanning calorimetry

(DSC). The thermo-mechanical properties of cured copolymers, polyNCA/DCPD and polyNCA/CL, were characterized using dynamic mechanical analysis (DMA). Glass transition temperature, storage modulus, loss modulus and tangent peak served as useful metrics to probe structural network changes. Mechanical properties obtained from tensile tests and thermal stability measured by thermogravimetric analysis (TGA) are also discussed.

## 2. Experimental

### 2.1. Materials

Castor oil, dicyclopentadiene (DCPD), lithium aluminum hydride (95%) (LAH), 5-norbornene-2-carboxylic acid (98%, a mixture of *endo*- and *exo*-, predominantly *endo*), thionyl chloride (99.5%) (SOCl<sub>2</sub>), triethylamine (TEA) and 2nd generation Grubbs' catalyst [1,3-bis-(2,4,6-trimethylphenyl)-2-imidazolidinylidene]dichloro(phenylmethylene)(tricyclohexylphosphine) ruthenium] were obtained from Sigma–Aldrich (Milwaukee, WI). Tetrahydrofuran (HPLC grade) (THF), ethyl acetate, methylene chloride (ACS certified) (CH<sub>2</sub>Cl<sub>2</sub>), anhydrous magnesium sulfate (MgSO<sub>4</sub>), sodium hydroxide (NaOH), sodium carbonate (Na<sub>2</sub>CO<sub>3</sub>), and hydrochloric acid (HCl) were supplied by Fisher Scientific (Fair Lawn, NJ). CH<sub>2</sub>Cl<sub>2</sub> was refluxed over CaH<sub>2</sub> under nitrogen flow and distilled immediately prior to use. All other reagents were used as received without further purification.

Norbornenyl-based cross-linker (CL), a mixture of *exo-endo* isomer, 1,4,4a,5,8,8a-hexahydro-1,4,5,8-*exo*,-*endo*-dimethanonaphthalene and *endo-endo* isomer, 1,4,4a,5,8,8a-hexahydro-1,4,5,8-*endo*,-*endo*-dimethanonaphthalene in a molar ratio of 87:13, was synthesized according to a literature method [18].

### 2.2. Synthesis of bicyclo[2.2.1]-hept-2-ene-5-carbonyl chloride

The preparation of bicyclo[2.2.1]-hept-2-ene-5-carbonyl chloride was modified from a reported approach [19]: 5-norbornene-2-carboxylic acid (110.53 g, 0.8 mol) was mixed with thionyl chloride (190.35 g, 1.6 mol) in a 500 mL round-bottom flask, and then refluxed under dry nitrogen gas at 70 °C for 3 h. Excess SOCl<sub>2</sub> was evaporated under reduced pressure. The crude product was further purified by distillation to eliminate colored impurity, yielding a transparent liquid (71%). <sup>1</sup>H NMR (400 Hz, CDCl<sub>3</sub>) indicates that the product is an mixture of *endo* isomer (74%) and *exo* isomer (26%). <sup>1</sup>H NMR δ: 6.25–6.27 (m, 1H, =CH, *endo*), 6.20–6.22 (m, 0.35H, =CH, *exo*), 6.11–6.14 (m, 0.35H, CH, *exo*), 6.02–6.04 (m, 1H, =CH, *endo*), 3.43–3.47 (m, 2H, *endo*), 3.29 (s, 0.35H, *exo*), 2.99 (s, 1.4H), 2.72–2.76 (m, 0.35H, *exo*), 2.00–2.05 (m, 0.36H, *exo*), 1.92–1.98 (m, 1.1H, *endo*), 1.47–1.55 (m, 2.9H), 1.41–1.43 (d, 0.36H, *exo*), 1.32–1.34 (d, 1.1H, *endo*).

### 2.3. Synthesis of norbornenyl-functionalized castor oil alcohol (NCA)

NCA monomer was synthesized by following the reported route [18]: LAH (12.27 g, 0.32 mol) was added to a 2000 mL, two-neck round-bottom flask with 100 mL of THF. Castor oil (100 g, 0.11 mol) was dissolved in 600 mL of THF and added dropwise to the LAH slurry in an ice bath.

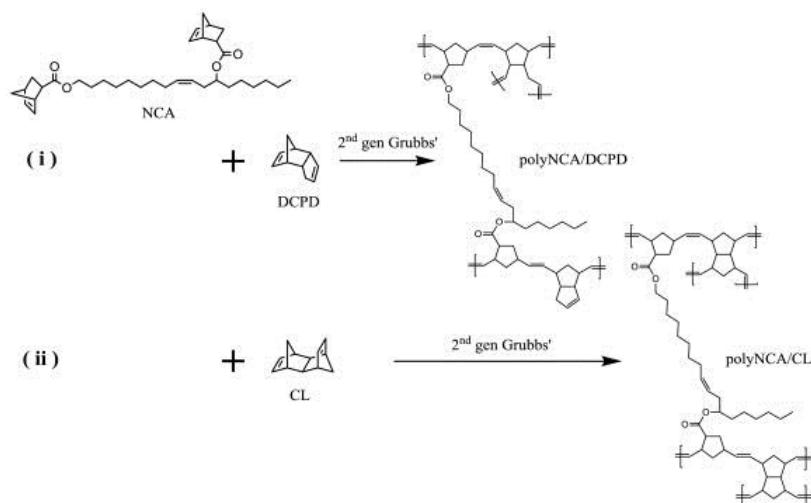
The reaction was maintained at 0 °C for 12 h under mechanical stirring. To remove the remaining LAH, the reaction mixture was quenched by ice water, and then 1 M HCl was added until it changed to a clear solution. The product was extracted with ethyl acetate (600 mL), washed with water (600 mL), and then the organic layer was dried over MgSO<sub>4</sub>. After solvent evaporation, the castor oil alcohol was obtained as a clear, light yellow viscous liquid (85%).

Castor oil alcohol (50 g, 0.18 mol) was dissolved in 250 mL of anhydrous CH<sub>2</sub>Cl<sub>2</sub> and cooled to 0 °C in an ice bath. A solution of bicyclo[2.2.1] hept-2-ene-5-carbonyl chloride (57.8 g, 0.37 mol) in 250 mL of anhydrous CH<sub>2</sub>Cl<sub>2</sub> was added dropwise and then followed by the addition of triethylamine (39.13 g, 0.39 mol). The reaction was allowed to warm to ambient temperature and the solution was stirred for 24 h. Then the reaction product was poured into 1000 mL of 5 wt.% Na<sub>2</sub>CO<sub>3</sub> aqueous solution and stirred for 12 h. Product was extracted with CH<sub>2</sub>Cl<sub>2</sub>, dried over MgSO<sub>4</sub> and removal of solvent yielded NCA as a light brown oil (80%).

## 2.4. Sample preparation

Blends of NCA and cross-linking agents (DCPD or CL) were prepared at different comonomer loadings: 10, 20, 30, 50 wt.%. CL (m.p. 12 °C, determined by DSC) was mixed directly at room temperature, while DCPD (m.p. 33 °C) was melted in an oven prior to mixing with NCA. Homogenous solutions were obtained for all blend compositions.

2nd generation Grubbs' catalyst was freeze-dried prior to dissolution in monomer [20]. In a typical example, catalyst (100 mg) was dissolved in 2 mL of benzene in a small vial and then flash-frozen in a liquid nitrogen bath. The frozen solution was placed in an ice bath under vacuum for 5 h to sublime the benzene. Freeze-dried 2nd generation Grubbs' catalyst (0.125 wt.%) was added to a resin mixture cooled in an ice bath, which was vigorously stirred with a spatula for 10 s to dissolve the catalyst completely. Small amounts of resin mixture (~100 mg) was withdrawn for the cure kinetics study. The remaining resin was injected into rubber molds (100 mm × 70 mm × 1 mm) that were sandwiched between two glass plates. Specimens were cured according to the following schedule (cure schedule developed vide infra, Section 3.1): isothermal cure at 60 °C for 1.5 h, followed by post-cure at 135 °C for 12 h. After curing the resulting thermosets were removed from the oven and cooled down in the air. The ROMP of NCA/DCPD and NCA/CL mixtures is illustrated in [Scheme 1](#).



Scheme 1: ROMP of NCA with DCPD and CL.

## 2.5. Characterization

Differential scanning calorimetry (DSC, Q2000, TA instruments) was used to monitor isothermal curing. Resin (~10 mg) was loaded into the DSC cell at a standby temperature of  $-50\text{ }^{\circ}\text{C}$ . After thermal equilibration for 1 min, the DSC cell was heated to the required temperature ( $T_c = 50, 60, 70\text{ }^{\circ}\text{C}$ ) at a heating rate of  $100\text{ }^{\circ}\text{C}/\text{min}$  and held isothermally for 1 h. Resulting cured samples were cooled to  $-50\text{ }^{\circ}\text{C}$  at a rate of  $10\text{ }^{\circ}\text{C}/\text{min}$ , followed by a dynamic scan to  $250\text{ }^{\circ}\text{C}$  at a rate of  $10\text{ }^{\circ}\text{C}/\text{min}$  to reach full conversion.

Soxhlet extraction was performed on ca. 2.5 g samples with 100 mL of refluxing  $\text{CH}_2\text{Cl}_2$  in a Soxhlet extractor for 24 h. After extraction both the remaining solid and the extracts were dried in a vacuum oven at  $60\text{ }^{\circ}\text{C}$  overnight.

Swelling tests of polyNCA/DCPD and polyNCA/CL were performed on small rectangular pieces ( $1 \times 10 \times 20\text{ mm}$ ). Pre-weighed samples ( $W_{\text{initial}}$ ) were soaked in  $\text{CH}_2\text{Cl}_2$  for 48 h at room temperature. The final weight ( $W_{\text{final}}$ ) of saturated samples was used in equation (3) to calculate swelling percentage:

$$\text{Swelling}(\%) = \frac{W_{\text{final}} - W_{\text{initial}}}{W_{\text{initial}}} \times 100\%$$

Dynamic mechanical analysis (DMA, Q800, TA Instruments) was performed on rectangular sample strips of  $20 \times 4.3 \times 0.8\text{ mm}$  (length  $\times$  width  $\times$  thickness), measured in a tension fixture, with uniaxial oscillation at a constant frequency of 1 Hz and amplitude of  $15\text{ }\mu\text{m}$ . The samples were scanned at a temperature range of  $-50\text{ }^{\circ}\text{C}$  to  $200\text{ }^{\circ}\text{C}$  at a heating rate of  $3\text{ }^{\circ}\text{C}/\text{min}$ .

The tensile properties of the thermosetting copolymers were measured using an Instron universal testing machine (model 4502) with a crosshead speed of  $50\text{ mm}/\text{min}$ . Testing specimens (ASTM D 638-03, type V) were machine-milled, and at least three replicates of each sample were taken

for measurement. Young's modulus ( $E$ ), maximum tensile strength ( $\sigma_m$ ), strain at break ( $\epsilon_b$ ), and tensile toughness of the polymers were recorded.

Thermal stability was investigated using a thermogravimetric analyzer (TGA) Q50 (TA Instruments). PolyNCA/DCPD and polyNCA/CL samples ( $\sim 10$  mg) were heated from ambient temperature to 700 °C at a heating rate of 20 °C/min in air (50 mL/min).

### 3. Results and discussion

#### 3.1. Isothermal cure kinetics

Isothermal curing was performed at three temperatures 50, 60, and 70 °C for NCA resins blended with DCPD or CL at a 50:50 mass ratio. Fig. 2(a) and (b) show the exothermic peaks corresponding to isothermal cure. These curing temperatures were selected on the basis of the onset temperature of exothermic peak determined from standard dynamic scans of NCA resin blends.

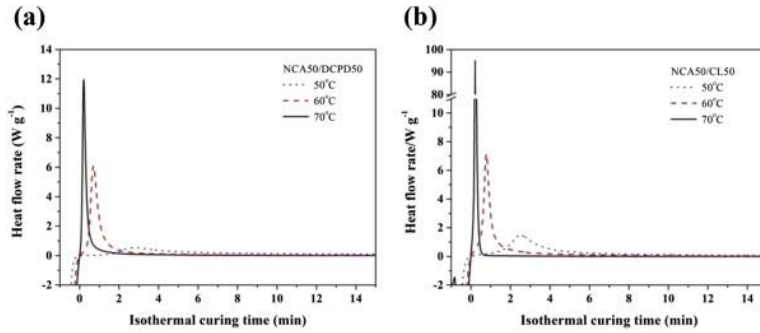


Fig. 2: Isothermal DSC thermogram of (a) NCA50/DCPD50 and (b) NCA50/CL50 at different curing temperatures.

Conversion,  $\alpha$ , or degree of cure, is defined as:

$$\alpha = \frac{\Delta H_t}{\Delta H_{rxn}}$$

where  $\Delta H_t$  is the heat generated up to time  $t$  during the cure reaction and  $\Delta H_{rxn}$  is the total reaction heat per unit mass (J/g) from uncured ( $\alpha = 0$ ) to fully cured ( $\alpha = 1$ ). The conversion rate is defined as:

$$\frac{d\alpha}{dt} = \frac{dH/dt}{\Delta H_{rxn}}$$

where  $dH/dt$  represents the heat flow rate by DSC.

The NCA50-DCPD50 and NCA50-CL50 blends show the similar times for the maximum conversion rate to be reached. For both resin compositions, the time taken for maximum

conversion rate reduced significantly from about 2.5 min to about 12 s when the cure temperature was raised from 50 °C to 70 °C, exhibiting a significant influence of cure temperature on the ROMP cure kinetics. Compared to NCA/DCPD, the maximum conversion rate of NCA/CL resin is higher under the same curing condition, confirming a higher ROMP reactivity of CL than DCPD [21].

Fig. 3(a) and (b) show conversion with respect to time for both resins at different temperatures. The final conversion obtained after 1-h isothermal cure is shown in Table 1. At 70 °C, 90% of NCA/DCPD monomers were converted into the polymeric network in only 5 min, while NCA/CL reacted even faster. However, the fact that the conversion levels off below complete conversion means that a post-cure process, where the temperature is set above  $T_{g\infty}$  (glass transition temperature in the fully cured state) is necessary to fully postcure the polymer network.

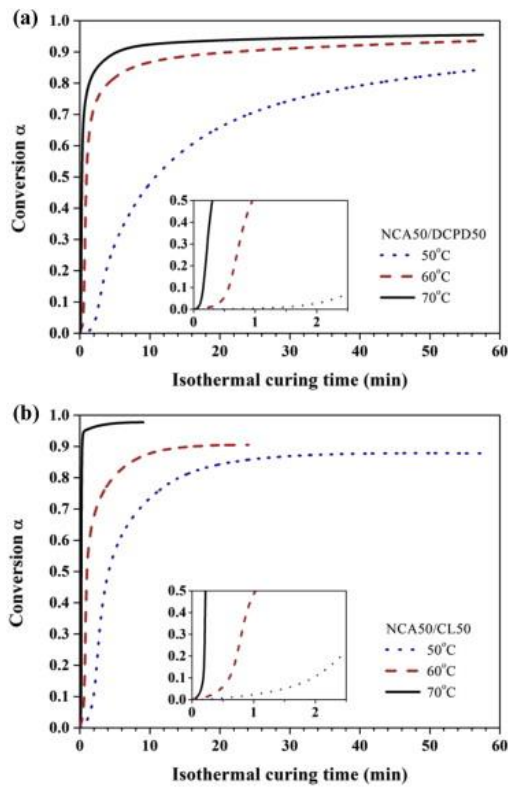


Fig. 3: Conversion as a function of time of (a) NCA50/DCPD50 and (b) NCA50/CL50 under isothermal cure at different curing temperatures.

Composition	Curing temperature (°C)	Time of (dH/dt) max (s)	(dH/dt) max (W/g)	Final conversion $\alpha$ (%)
NCA50/DCPD50	50	168 ± 2	0.53 ± 0.02	84.4 ± 1.4
	60	42.0 ± 0.6	6.1 ± 0.20	93.6 ± 1.2
	70	12.3 ± 0.3	12 ± 0.5	95.5 ± 1.2
NCA50/CL50	50	149 ± 1	1.5 ± 0.05	87.8 ± 1.1
	60	46.5 ± 0.3	7.1 ± 0.30	90.5 ± 1.5
	70	12.5 ± 0.4	95 ± 3.1	97.7 ± 1.2



Table 1: Selected isothermal cure data from Fig. 2 and Fig. 3.

Consequently, a cure schedule (described in Section 2.4) was proposed to fabricate bulk specimens for NCA resins with various levels of DCPD or CL loading. The curing temperature was set as 60 °C for all compositions as a trade-off cure condition for resins with higher comonomer loading (>30%) while ensuring high conversion rates for low comonomer loading (<30%). A post-cure temperature higher than  $T_{g\infty}$  (135 °C) was selected to ensure full curing of resin without thermal degradation.

### 3.2. Glass transition temperature

Fig. 4 shows the evolution of glass transition temperature ( $T_g$ ) as a function of cross-linking agent loading for the NCA/comonomer thermosets. The value of  $T_g$  here is determined by the maximum of dissipation factor peak from DMA measurements. It has been demonstrated that DSC is not sensitive to detect  $T_g$  accurately for NCA-dominant copolymers (NCA >80%), likely due to the effect of cross-linking and the multiple relaxation modes of fatty acid-based polymer chains [22].

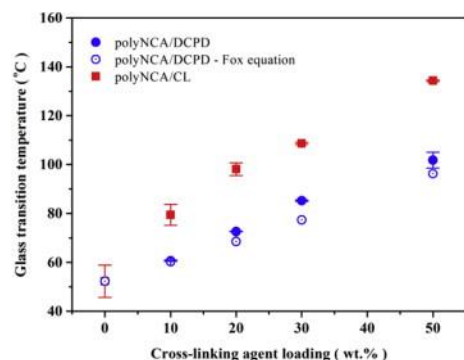


Fig. 4: Glass transition temperature ( $T_g$ ) of polyNCA/DCPD and polyNCA/CL as a function of cross-linking agent loading.

In Fig. 4, polyNCA/DCPD and polyNCA/CL curves display a monotonic increase of  $T_g$  with the addition of cross-linking agents, with the value ranging from 52.3 °C for polyNCA to 101.8 °C and 134.3 °C for polyNCA/DCPD and polyNCA/CL, respectively, which is consistent with previous reports of copolymerization of linseed oil with DCPD or CL [11], [16] and [23]. In this study, the effect of DCPD and CL on the  $T_g$  shift behavior of the castor oil alcohol-based polymer is evaluated regarding the aforementioned two aspects: structural rigidity contribution and the crosslink density.

The Fox equation is a simple empirical model to predict the dependence of  $T_g$  on composition in a binary polymer system, usually polymer blends and copolymers. The  $T_g$  of neat polyNCA was measured as 52.3 °C and polyDCPD prepared by ROMP was reported as 153.9 °C previously [24]. Since the  $T_g$  of polyCL is not available yet for the difficulty of preparation, here only the  $T_g$  of polyNCA/DCPD is calculated as a function of its mass ratio based on the Fox equation.

$$\frac{1}{T_g} = \frac{w_1}{T_{g1}} + \frac{w_2}{T_{g2}}$$

$T_{g1}$  and  $T_{g2}$  represent the glass transition temperatures of polyNCA and polyDCPD, respectively, while  $w_1$  and  $w_2$  are the corresponding mass fractions. A positive deviation of experimental  $T_g$  from Fox's prediction beyond error evaluation was observed for polyNCA/DCPD. The  $T_g$  increasing trend with DCPD loading differs from the linear relationship that was reported in previous NCO/NCA bio-polymer systems [8]. Nonetheless, it presented similarity to the  $T_g$  behavior of ROMP thermosets based on norbornene petroleum-derivatives with high cross-link density [25]. This observed deviation of  $T_g$  from the model could therefore be related to the high cross-linking density resulting from the high functionality of the NCA-comonomer compositions. Unlike Dilulin or NCO-based copolymers, of which the norbornenyl functionality per molecule is about 0.8–1, the NCA monomer affords a high cross-linked network by itself due to 1.8 ROMP-reactive norbornene rings per molecule ( $f = 1.8$ ). As the cross-linking comonomer, CL has two norbornene rings of equal reactivity ( $f = 2$ ), while DCPD (in terms of *endo* isomer) is able to lightly cross-link due to 20% of cyclopentene ring-opening except for norbornene ring-opening ( $f \approx 1.2$ ) [26]. It is expected that a low-molecular-weight comonomer with high functionality, such as DCPD and CL, would tune the cross-link density of NCA around a high level. The altered  $T_g$  – composition dependence of polyNCA/DCPD from the model may reflect the reduction of free mobility of chain segments by the strong interaction in such a highly cross-linked system.

Besides, the higher  $T_g$  shown in polyNCA/CL compared to polyNCA/DCPD at the same comonomer loading is also associated with CL's higher functionality. CL retains a bicyclic structure after both norbornene groups undergoes ROMP and the structural units are theoretically all incorporated into copolymer backbone (in [Scheme 1](#) (ii)). DCPD, on the other hand, is polymerized leaving with about 80% pendant cyclopentene rings, which gives lower contribution of rigid cyclic structure to the main polymer chains (in [Scheme 1](#) (i)). As a result, CL appears to be a more efficient cross-linking agent than DCPD to improve  $T_g$ , as supported by the increasing difference of  $T_g$  between polyNCA/CL and polyNCA/DCPD with comonomer loading.

### 3.3. Thermo-mechanical properties

[Fig. 5](#)(a) and (b) shows the storage modulus and  $\tan \delta$  as a function of temperature for polyNCA/DCPD and polyNCA/CL samples. The  $E'$  of polyNCA/DCPD at 50% DCPD loading is  $1514 \pm 180$  MPa at room temperature, an increase of 2.8 times that of neat polyNCA. Comparatively,  $E'$  of polyNCA/CL at 50% CL loading increased 2 times that of neat polyNCA, reaching up to  $2046 \pm 46$  MPa. The significant modulus improvement in the glassy state results from the shift of the glass–rubber transition to higher temperatures. The nature of the sharp  $E'$  decrease, indicative of the glass transition, relative to cross-linking agent loading, differs considerably for polyNCA/DCPD and polyNCA/CL between. The  $E'$  decrease for polyNCA/DCPD appears to occur over a narrower temperature window and with a larger magnitude with increasing comonomer loading than that of polyNCA/CL. Interestingly for polyNCA/CL, further raising the CL loading from 30% towards 50%, the slope of the  $E'$  decrease reverts to the shape resembling the neat polyNCA.

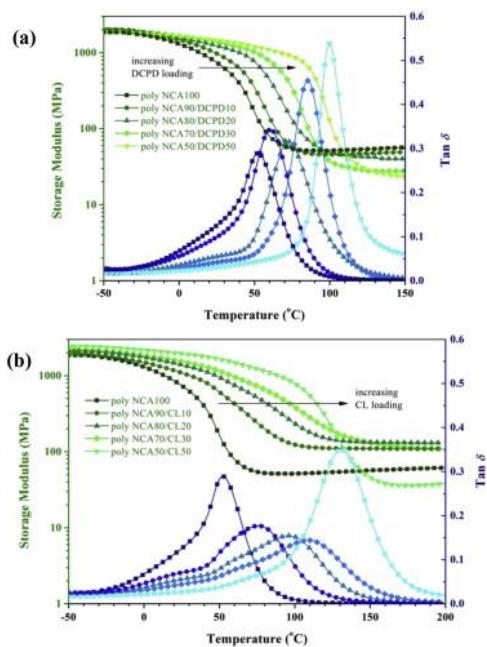


Fig. 5: Storage modulus and  $\tan \delta$  curves for (a) polyNCA/DCPD and (b) polyNCA/CL with increasing cross-linking agent loading.

Corresponding to the shift of  $E'$  decrease, the  $\tan \delta$  curve of polyNCA/DCPD in Fig 5(a) shows a single peak that becomes sharper and taller with increasing DCPD loading. A low-temperature and broad (between  $-20$  °C and  $40$  °C) dissipation shoulder is present for both neat polyNCA and copolymers thereof, which decreases in intensity with the addition of DCPD. This shoulder indicates a broad, sub-glass transition in polyNCA, which was previously attributed to local crankshaft motions of ester groups between fatty chains and the conformational changes of the cyclopentane rings [27]. The diminishing intensity of sub-glass relaxation of polyNCA/DCPD results directly from the decrease in the NCA fraction. In Fig 6(a), the  $E''$  of polyNCA/DCPD displays a similar decreasing dissipation shoulder with increasing DCPD loading. Meanwhile, the shoulder separates from the primary transition peak as  $T_g$  shifts to higher temperature.

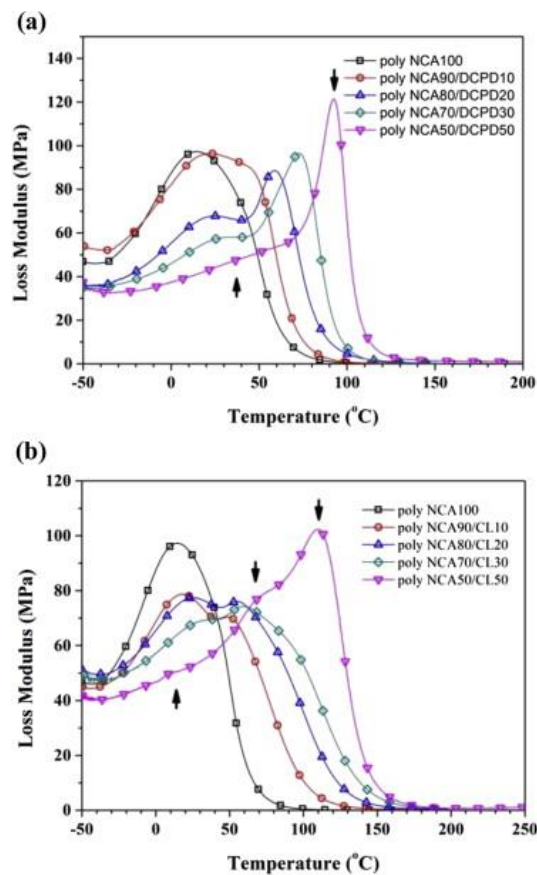


Fig. 6: Loss modulus curves of (a) polyNCA/DCPD and (b) polyNCA/CL with increasing cross-linking agent loading.

For polyNCA/CL, the  $\tan \delta$  curve shown in Fig. 5(b) develops with CL loading in a complicated manner. Compared to polyNCA/DCPD, the  $\tan \delta$  peak appears to span in broader temperature range and shrink in peak intensity by increasing CL loading up to 30%, which indicates the formation of a complex thermosetting network structure with high inhomogeneity. Three identifiable relaxation regions are observed in the  $\tan \delta$  peak with the highest 50% CL loading and assigned to low-, medium- and high-temperature region for convenience. The  $E''$  curves plotted on a linear scale in Fig. 6(b) demonstrates these regions (pointed by black arrows) with better visibility. The  $E''$  peak of the low-temperature relaxation region is stably located around 20 °C without changing with CL loading. With comparable results to the dissipation shoulder of polyNCA/DCPD, the low-temperature relaxation region of polyNCA/CL is also attributed to the sub-glass transition owing to NCA component. The medium- and high-temperature regions are expected to be associated with the primary relaxations of multiple phases in the copolymer network, because the  $\tan \delta$  (or  $E''$ ) peaks of both regions shift to higher temperature with the increase of CL loading. Last but not least, the decrease in intensity of the primary  $\tan \delta$  peak for polyNCA/CL discontinues at 30% CL loading while the peak remains shifting to higher temperatures. Further increase of CL loading up to 50% alters the evolving pattern of  $\tan \delta$  curves, which is consistent with the change in the glass-transitional  $E'$  decrease. In order to better explain the dynamic mechanical behavior of polyNCA/CL and polyNCA/DCPD, a correlation between cross-link density,  $T_g$ , and  $\tan \delta$  peak is established.

### 3.4. Evaluation of cross-link density

Fig. 5(a) and (b) show that in the rubbery plateau region, at temperatures above the glass transition, copolymers begin to show elastic characteristics. The crosslink density can be generally determined from the equilibrium shear modulus, which is commonly obtained at  $T_g + 50$  °C in the rubbery plateau. The kinetic theory of rubber elasticity provides the following relationship [28]:

$$G = \frac{\Phi dRT}{M_c} \quad \nu_e = \frac{d}{M_c}$$

where  $\Phi$  is the front factor,  $d$  is the density of the polymer,  $R$  is the gas constant, and  $T$  is the absolute temperature at  $T_g + 50$  °C.  $M_c$  is the average molecular weight of elastically active network chains (EANCs) between cross-links, which is inversely proportional to the crosslink density  $\nu_e$ . The equation is only valid for lightly cross-linked rubbers that comply with the assumptions of Gaussian chains.  $\Phi$  is assumed to be unity only when  $G < 10$  MPa [29]. For highly cross-linked polymers, as is the case in this work, the EANC between two junctions are much shorter and less likely to be randomly curled. It was reported that Equation (5) does not give accurate predictions for highly cross-linked polymers such as epoxy and phenol-formaldehyde resins [30]. Hence, it has been suggested that when elastic shear modulus values exceed 10 MPa, showing a characteristic of non-Gaussian polymer chains, a modified empirical equation should be used to calculate cross-link density and is given as:

$$\log G = 6 + 23.6 \frac{dM_x}{M_c}$$

where  $M_x$  is the molecular weight of the multifunctional cross-linked atoms plus the attached hydrogen atoms. The shear modulus can be substituted by the storage modulus, because for ideal rubber:  $G = E'/3$ . The density of polymers is unified for the sake of calculation. Cross-link density  $\nu_e$  and molecular weight between cross-links  $M_c$  of polyNCA/DCPD and polyNCA/CL are listed in Table 2. The calculated results are consistent with reasonable expectations: for example,  $M_c$  of neat polyNCA agrees with the molecular weight of NCA monomer unit ( $C_{34}H_{52}O_4$ , MW: 524.8).

Copolymer composition	$T_g$ (°C)			$E'$ at 30 °C (MPa)	Cross-link density		Soluble fraction (%)
	$E''$ peak	$\tan \delta$ peak	$\tan \delta$ peak max		$\nu_e$ (mol/m <sup>3</sup> )	$M_c$ (g/mol)	
NCA100	13.4 ± 1.2	52.3 ± 6.6	0.31 ± 0.01	537 ± 86	2014	497	1.1
NCA90/DCPD10	21.9 ± 0.7	60.7 ± 0.2	0.34 ± 0.03	860 ± 45	1935	517	–
NCA80/DCPD20	58.6 ± 0.3	72.6 ± 0.1	0.32 ± 0.02	1120 ± 57	1875	533	–
NCA70/DCPD30	73.8 ± 1.1	85.2 ± 0.3	0.46 ± 0.01	1312 ± 75	1573	636	–
NCA50/DCPD50	92.9 ± 0.7	101.8 ± 3.2	0.53 ± 0.02	1455 ± 78	1454	689	0.9
NCA90/CL10	56.3 ± 7.9	79.4 ± 4.3	0.18 ± 0.01	1109 ± 58	2554	392	–
NCA80/CL20	56.4 ± 3.6	98.1 ± 2.6	0.15 ± 0.01	1340 ± 60	2689	372	–
NCA70/CL30	60.5 ± 1.8	108.6 ± 0.4	0.14 ± 0.01	1473 ± 33	2627	381	–
NCA50/CL50	113.0 ± 1.7	134.3 ± 0.3	0.35 ± 0.01	2046 ± 46	1759	569	1.0

Table 2: Dynamic mechanical properties and cross-link density determined by DMA.

As can be seen, the cross-link density decreases monotonically with the addition of DCPD, and the value of  $M_c$  increases from 497 g/mol to 689 g/mol. While this general trend is in agreement with the observed magnitude change in the relaxation peak in  $\tan \delta$ , it is noteworthy that  $T_g$  exhibits a non-linear increase with DCPD loading. The inverse relationship between  $T_g$  and cross-link density in polyNCA/DCPD system does not abide by the normal results from previous bio-thermoset studies. The decrease of cross-link density, due to the modification of multi-functionality between NCA and DCPD, is expected to have negative effect on  $T_g$  for the resulting thermosetting system. In fact, the  $T_g$  increase of polyNCA/DCPD appears to be dominantly influenced by increased structural rigidity rather than cross-linking effects. The main contributors, cyclopentane group and carbon-carbon double bond, which are characteristic in ROMP polymers based on norbornene-derivatives, endow chain segments with very low compliance and high activation energy for macroscopic cooperative movement. With increasing DCPD loadings, the thermoset network contained increased cyclic rigidity in lieu of the flexible backbone of the NCA fraction, resulting in an increase in  $T_g$ .

While investigating polyNCA/CL at a relatively low CL loading (<30 wt.%), a “typical” cross-linking effect for thermosets is observed. As the cross-link density increases, the  $\tan \delta$  peak representing the glass-to-rubber relaxation broadens, diminishes in intensity and shifts to higher temperature. The corresponding drop in  $E'$  also decreases in magnitude and expands over a wider temperature range. The increasing rate of  $v_e$ , however, slows down with the addition of CL and finally reaches a plateau between 20 wt.% and 30 wt.%. When the CL loading is further increased to 50 wt.%,  $v_e$  appears to decrease back to a value lower than polyNCA. The swelling percentage shown in Fig. 7 gives a qualitative interpretation of  $v_e$  which correlates well to the calculated  $v_e$  of polyNCA/CL. The impaired cross-linking effect by high CL loading is also manifested in the intensified  $E'$  decrease and  $\tan \delta$  relaxation peak indicating the transition of polyNCA/CL.

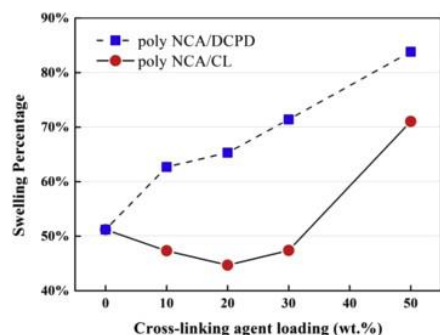


Fig. 7: Weight-based swelling percentage of polyNCA/DCPD and polyNCA/CL as a function of cross-linking agent loading.

Counterintuitive cross-linking trends have been observed previously with CL-copolymerized ROMP systems. In a Dilulin-CL copolymer,  $v_e$  leveled off at approximately 40 wt.% of CL loading [11]. In the case of a DCPD/CL copolymer, the effect of CL loading on  $v_e$  became negligible between 15 and 20 wt.% [31]. These phenomena could be related to reaction-induced microphase separation as a consequence of demixing on incipient formation of ROMP copolymer with high CL content [31]. At the initial curing stage, highly reactive CL is likely to

form individual cross-linked microgels before the formation of a cohesive network. After the reaction rate of CL significantly decreases as CL depletes, the propagation of NCA dominates the network growth till fully cured. This interpretation is evidenced by the multiple relaxations shown in the  $\tan \delta$  peak ( [Fig. 5\(b\)](#)) and  $E''$  peaks ( [Fig. 6\(b\)](#)) of cured polyNCA/CL. In addition to the sub- $T_g$  transition at around 10–40 °C, there are two primary transitions responsible for the multiple phases: the high  $T_g$  phase and the low  $T_g$  phase, according to the previous identification of the relaxation regions. The  $T_g$  of high  $T_g$  phase, determined by  $\tan \delta_{\max}$  in the high-temperature region, increases substantially with CL loading. Hence, the high  $T_g$  phase is likely identified as CL-rich microgels. The  $T_g$  of low  $T_g$  phase is indicated by  $\tan \delta$  peak in the medium-temperature region and slowly shifts from 60 °C to 80 °C, which ascribes the low  $T_g$  phase to NCA-rich fraction. The generation of network heterogeneity, likely due to the differences in ROMP reactivity between NCA and CL, reduces the macroscopic cross-link density of the thermosets [[32](#)].

The soluble oligomer fraction determined by Soxhlet extraction was present in a few copolymer formulations, very low (around 1 wt.%, in [Table 2](#)), and independent of  $v_e$  for both polyNCA/DCPD and polyNCA/CL. This implies that cross-linking between NCA and the cross-linking agents is efficient and most monomer is incorporated into the polymer network.

### 3.5. Tensile properties

[Fig. 8](#) illustrates the stress-strain behavior of polyNCA/DCPD and polyNCA/CL, determined by tensile testing. Two mass ratios (30/70 and 50/50) of each copolymer were compared to the neat polyNCA by investigating Young's modulus, maximum tensile strength, strain at break, and toughness (in [Table 3](#)). The two copolymers exhibited a different variation in tensile behavior with the addition of the cross-linking agents. Neat polyNCA exhibits ductile behavior with a yield point that occurs at about 2% strain, followed by plastic deformation and breaking at  $12.4 \pm 1.8\%$  strain. As DCPD loading increases to 30% and 50%, a considerable improvement in Young's modulus, tensile strength, and toughness is observed for polyNCA/DCPD copolymers. PolyNCA50/DCPD50 reaches the highest tensile properties: Young's modulus of  $1699 \pm 428$  GPa, tensile strength of  $42.9 \pm 0.6$  MPa, toughness of  $4.95 \pm 0.55$  J/m<sup>3</sup>, and elongation at break ( $14.0 \pm 1.6\%$ ). The improved tensile properties can be attributed to the effect of increasing  $T_g$  as well as declining cross-linking density by incorporating DCPD into polyNCA. Incorporation of a larger percentage of rigid cyclopentane rings into the backbone chains strengthens covalent and intermolecular bonding as well as the polymer's resistance upon stress, while the reduced cross-linking density is responsible for the larger elongation at break.

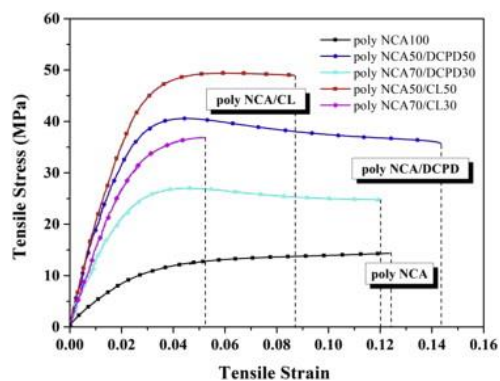


Fig. 8: Tensile stress-strain curves of polyNCA/DCPD and polyNCA/CL at various cross-linking agent loadings.

Composition	$E$ (MPa)	$\sigma_m$ (MPa)	$\varepsilon_b$ (%)	Toughness ( $J/m^3$ )
NCA100	$469 \pm 47$	$15.5 \pm 1.4$	$12.4 \pm 1.8$	$1.37 \pm 0.32$
NCA70DCPD30	$1213 \pm 178$	$28.2 \pm 0.5$	$11.6 \pm 1.9$	$2.66 \pm 0.43$
NCA50DCPD50	$1699 \pm 428$	$42.9 \pm 0.6$	$14.0 \pm 1.6$	$4.95 \pm 0.55$
NCA70CL30	$1639 \pm 259$	$38.9 \pm 0.2$	$5.2 \pm 0.3$	$1.36 \pm 0.10$
NCA50CL50	$2051 \pm 128$	$52.2 \pm 0.2$	$8.8 \pm 0.8$	$3.59 \pm 0.40$

$E$  = Young's modulus,  $\sigma_m$  = maximum tensile strength,  $\varepsilon_b$  = elongation at break.

Table 3: Tensile properties of polyNCA/DCPD and polyNCA/CL.

In comparison, polyNCA/CL copolymers exhibit lower strain at break in addition to increases in tensile strength and modulus when increasing CL loadings, demonstrating a trend to brittle failure. PolyNCA50/CL50 displays a slight increase in  $\varepsilon_b$  (by  $3.6 \pm 0.5$ ) and toughness (by  $2.23 \pm 0.30 J/m^3$ ) from polyNCA70/CL30, while  $E$  and  $\sigma_t$  continue to increase with CL loading. The shift of stress-strain curves according to CL loading is also influenced by the two variables of  $T_g$  and  $\nu_e$  but in a different way compared to polyNCA/DCPD system. The addition of CL increasing both  $T_g$  and cross-link density performs more efficient improvement on the tensile strength and modulus of polyNCA/CL, however detrimental to plastic deformation.

### 3.6. Thermal stabilities

Thermogravimetric analysis results for polyNCA/DCPD and polyNCA/CL are shown in Fig. 9(a) and (b). Assisted by the weight loss derivative, all TGA curves for the two groups of copolymers can be divided into three stages. The first stage represents a slow decline over the temperature range from 250 to 400 °C, which is probably related to the decomposition of side chains or branches that are lightly cross-linked within the thermosetting network. Soluble fractions or unreacted monomers may not be an influential factor to this stage because the Soxhlet extraction results evidenced that the resins were fully cured. The second stage ranging from 400 to 500 °C corresponds to a significant collapse in weight percentage. During this stage, the thermosets are subjected to fast decomposition of main chains in the network, the cleavage of



C=C bonds and ester groups for instance. The third stage, which extends to 650 °C, is responsible for the oxidation of residual char from the last stage.

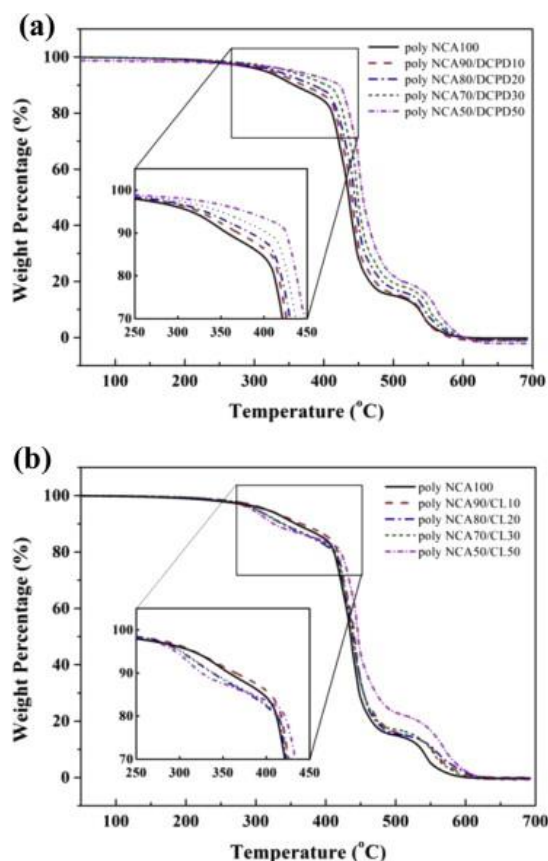


Fig. 9: Thermogravimetric analysis of (a) polyNCA/DCPD and (b) polyNCA/CL with increasing cross-linking agent loading.

As shown in Fig. 9(a), the weight loss curve is elevated stepwise for all three stages with the increase of DCPD loading in polyNCA/DCPD. The cross-linking of rigid cyclic moiety from DCPD imparts better thermal stability to the bio-based NCA polymer. Fig. 9(b) shows that polyNCA/CL does not exhibit such gradient shift with CL loading to polyNCA/DCPD. The weight loss of polyNCA/CL appear below neat polyNCA during the first degradation stage, as illustrated in the inset graph. The reason can be due to the formation of pronounced heterogeneity in the polyNCA/CL network. In a less homogeneous polyNCA/CL compared to polyNCA/DCPD, higher number of dangling chains that are loosely tied to the main network may be subjected to de-bonding and oxidization at early elevated temperature. PolyNCA/CL's resistance to degradation is therefore limited due to its network heterogeneity.

#### 4. Conclusions

Norbornenyl-functionalized castor oil alcohol (NCA) was prepared and copolymerized with two cross-linking agents: DCPD and CL. CL exhibits higher ROMP reactivity than DCPD in curing with NCA. With increasing loading of cross-linking agents, the glass transition temperature,

storage modulus and tensile properties of both polyNCA/DCPD and polyNCA/CL increases significantly in a different manner, which is ascribed to the molecular structure and functionality of the cross-linking agents. The glass transition region of the thermosets, however, exhibits a complex dependence on the cross-linking agent loading. This is attributed to changes in cross-link density concomitant with the enhanced structural rigidity. These two factors also influence the ductile/brittle tensile behaviors of polyNCA/DCPD and polyNCA/CL. Structural heterogeneity causes the diminished thermal stability of polyNCA/CL compared to polyNCA/DCPD.

## Acknowledgment

This material is based upon work supported by the National Science Foundation under Grant No. CMMI1348747. We are also thankful to Professor Richard Larock from the Department of Chemistry at Iowa State University (ISU) for the use of lab equipment for monomer preparation. Additionally, we thank Dr. David Grewell and Mr. Russell Huffman from the Department of Agricultural and Biosystems Engineering at ISU for their careful assistance in the preparation of tensile testing samples.

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